Circular No.: NSDL/POLICY/2020/0132   October 01, 2020

Subject: Mapping of Unique Client Code (UCC) with demat accounts of the clients.

Attention of Participants is invited to Circular No. NSDL/POLICY/2020/0070 dated May 15, 2020 regarding Mapping of Unique Client Code (UCC) with demat accounts of the clients.

Based on the feedback received from Participants, the facility has been provided to Participants to download full and incremental data in respect of UCC mapping in demat accounts under link viz., **DOWNLOAD UCC LINKED DATA** on i-Assist portal. In the incremental download file format, 'Incremental Flag' has been provided with values viz., A- Addition and D- Deletion for identification of addition/deletion of UCC mapping in demat accounts. In case of any changes in fields of UCC mapping records (as received from Stock Exchange), two separate rows (*one for deletion of earlier record and second for addition of revised record*) shall be provided in incremental download file. Further, the response file will be also provided to Participants in respect of UCC Delinking / Linking Data uploaded by them on NSDL i-Assist portal.

In this regard, the file formats with respect to download (**Full and Incremental files**) of UCC mapping details in demat accounts, UCC delinking /linking in demat accounts alongwith Error and Response file are enclosed at **Annexure.**

The aforesaid changes will be made available for Participants effective from October 1, 2020.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence I/Machine Learning Reporting Form (if offering or using such technologies as defined) - (Quarterly)	By 15th of the following month.	By email at Participant-Interface@nsdl.co.in	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019
Cyber Security & Cyber Resilience framework of Depository Participants - (Quarterly)	By 15th of the following month	By email at dpinfosec@nsdl.co.in	Circular No. NSDL/POLICY/2019/0039 dated July 9, 2019.
Networth Certificate and Audited Financial Statements for the FY 2019-20	October 31st , 2020	By hard copy to Inspection Department	Circular No. NSDL/PI/98/414 dated September 1, 1998 Circular No. NSDL/POLICY/2020/0131 dated September 30, 2020
Risk Assessment Template (April - September)	October 31st, every year	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0063 dated September 25, 2019